

7501 Data Matrix

	7501 DATA ELEMENTS 5 September 2001	HEADER	SUB-HEADER	LINE	COMMENTS/ ISSUES
1	Entry No. Includes filer code	✓			IASS reporting number.
2	Entry Type Code ____ IASS Entry Type Code ____ Other Entry Type Codes Box includes Payment Type Indicator	✓ ✓ ✓	✓		IASS will have its own unique entry type code that can be reported at the header level. In addition an IASS may be used to report other types of entries (i.e. releases - informals, ftz, warehouse etc.). Reporting these types of release activity will be done at the header, or subheader level.
3	Entry Summary Date	✓			This will be the IASS Date and will be used for the liquidation cycle. (* See Footnote a & # 4 below)
4	Entry Date ____ Rate Indicator Date May be estimated entry date if no IT or Entry Date	✓	✓	✓	Date of Entry is currently used as a rate indicator and liquidation cycle date. (* See Footnote a & # 3 above)
5	Port Code	✓	✓	✓	Census requires port of entry reported by value. Its therefore needed at the header, subheader or line level.
6	Bond No. Data in box is actually Surety Code not Bond No. (Surety code in CATAIR)	✓	✓		CATAIR requires Surety Code to be reported in this field. Release bond must be continuous. Summary bond reported at header. Bond rider or additional bonds (SEB, etc.) reported at subheader.
7	Bond Type Code				Reporting must be consistent with bond number reporting. N/A to IASS. Only bond used for securing IASS is continuous.
8	Broker/Importer File No.	✓	✓	✓	Broker identification number.
9	Ultimate Consignee Name and Address <u>Consignee State Code</u>	✓	✓	✓	Customs reported they did not need this information on the IASS. Drop it as a data field. Retain Consignee State Code
10	Consignee No. (ACE ID)				Customs reported they did not need this information on the IASS. Drop it as a data field.
11	Importer of Record Name and Address				Customs reported that name and address can be derived from IOR or ACE ID # in box 12. Drop this data field.
12	Importer No. (ACE ID)	✓			One IASS per IR# - _IOR or ACE ID will be needed on IASS to identify parties.
13	Exporting Country	✓	✓	✓	
14	Export Date	✓			(*** See Footnote c)
15	Country of Origin	✓	✓	✓	Provided under track 2 release. Census needs data reported by value which is not reported at release.
16	Missing Documents	✓	✓	✓	Brokers report need for this data field at the header, subheader and line level.
17	I.T. No. In Bond No in CATAIR				I.T.'s are transaction based and controlled and therefore there is no value reporting them on an IASS.
18	I.T. Date				I.T.'s are transaction based and controlled

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					and therefore there is no value reporting them on an IASS.
19	BL or AWB No.				Provided under track 2 release (** See Footnote b)
20	Mode of Transportation	✓	✓	✓	Provided under track 4 release. Census data not found on 3461 or track 2 release.
21	Manufacturer I.D. (ACE ID)	✓	✓	✓	Census requires MID reported by value therefore data must be reported at header, subheader and line level.
22	Reference No. CF-4811 Reference No in CATAIR	✓	✓	✓	Brokers report need for this data field at the header, subheader and line level.
23	Importer Carrier	✓	✓	✓	On Type 1,2,3 & & entries importing carrier (except air shipments i.e. mode of transport 40) need not be listed on IASS as it can be found on track 2 and 4 release. Census requires on Type 6 entries the Foreign Trade Zone Number must be reported at the header, subheader or line level.
24	Foreign Port of Lading				N/A to this release of IASS. Applies only to vessel shipments. Agencies identified in the Census Data Study. DOT and Corps of Engineers are 2 of the agencies that use this data element.
25	Location of Goods/G.O. No.				Provided under track 2 release (** See Footnote b)
26	US Port of Unlading	✓	✓	✓	On mode of transport 40 (air shipments) this data field must be reported by carrier. On other modes of transport this is not necessary.
27	Import Date	✓	✓	✓	(*** See Footnote c) Type 1 entries this date may be reported as range at the header level. Type 2,3,6&7 entries date must be reportable at the line level. Or subheader level.
28	Line No.			✓	Defined as IASS line number
29	Description			✓	HTS Description
30	A-H.T.S.U.S. No. B-ADA CVD Case No.			✓ ✓	
31	A-Gross Weight B-Manifest Qty.			✓ ✓	
32	Net Quantity in H.T.S.U.S. Units			✓	
33	A-Entered Value B-CHGS C-Relationship (related party indicator in CATAIR)			✓ ✓ ✓	Census reports that relationship must be reported by value
34	A-H. T.S.U.S. Rate B-ADA/CVD Rate C-LRC Rate D-Visa No. E-Other Fees			✓ ✓ ✓ ✓ ✓	
35	Duty and IR Tax			✓	
36	Declaration of Importer	✓			

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37	Duty			✓	
38	Tax			✓	
39	Other			✓	
40	Total	✓			
41	Signature	✓			
42	Extension Indicator (New)	✓	✓	✓	Trade prefers line item extension

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Note: All sub-header and line item element that are also designated, as header items should have header default with exception override.

Footnotes:

* a – (Date Elements 3 & 4) Date of Entry is now used to establish the rate of duty and the liquidation cycle. In this proposal the rate indicator date would be reported at the line level so that mid-month rate changes could be identified and separated at the line level. The liquidation cycle would be the IASS filing date that would be reported at the header level. This would be the Entry Summary Date now reported on the 7501. A Date of Entry date would no longer be necessary on an IASS.

** b – (Data Elements, 9, 10, , 12, 19, , 23, , &) Information provided on release documentation. Data on relational databases should not be repeated on IASS. Release control mechanism identifier should be used to relate data within ACE.

*** c – (Data Elements 14 & 27) Data element may no longer be needed on a IASS. If it is needed it must be reported as a date range. (e.g. 2/1/2001 – 2/28/2001) Reporting both the import and export dates at the line level on an IASS is impossible. Import date is equal to the arrival date that is already reported on Track 2 (3461) and Track 4.

**** d – (New Data Element 43) Release Control Number - Currently entry numbers are used to link release and entry summary data together as a release control mechanism. Entry numbers could be used in ACE but confusion may be avoided by creating a unique data element for this purpose.

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Draft User Requirements

1. The IASS should be structured to permit the greatest level of consolidate on a single IASS as possible. It is recognized that line level reporting will therefore have to be provided for many of the data elements on an IASS. These data elements should also be provided for at the header and subheader level. Importers or their brokers can therefore choose to consolidate or separate their reporting on single or separate IASS statements. By using separate IASS statements data may therefore be reported at the header or subheader level rather than the line level.
2. **Entry Types – (7501 Data Element # 2)** At the July 24, 2001 meeting Customs/Census advised that for the purposes of the first IASS delinerable only entry types 01, 02, 03, 06, 07 would be included.
 - Type 01 – No additional reporting beyond that needed for IASS will be needed.
 - Type 02 – Line level reporting will be needed for Visa #, date of import, category, for textile export date.
 - Type 03 – Line level reporting will be needed for date of import and case #.
 - Type 06 – Line level reporting will be needed for date of import, zone #, zone status.
 - Type 07 – Line level reporting will be needed for visa #, category #, date of import, textile date of export, ADD/CVD Case #.
 - Due to different liquidation treatment given to informal (Type 11) entries it was Customs advice that they not be included on an IASS with other types of entries. It needs to be determined in future
3. **Modes of Transport – (7501 Data Element # 20)** At the July 24, 2001 meeting it was agreed that for the purposes of the first delinerable that truck, rail and air would be included. Sea would be reviewed in the future.
4. **Time Period** - At the July 24, 2001 meeting Customs/ Census advised that the aggregation had to be based on a one calendar month reporting.
5. **Date of Import – (7501 Data Element # 27)** During the July 30, 2001 Entry Committee conference call Customs advised that Date of Import could be reported as a date range for Type 01 entries. For Type 02, 03, 06, and 07 entries Date of Import needs to be reported at the line level.
6. **FTZ** – During the July 30 2001 IASS Subcommittee conference call Census advised that a data element for FTZ # and FTZ Status will be required for Type 6 entries. These data elements should be available at the Header, Subheader and Line Level.
7. **Manufacturers Identification Number (7501 Data Element # 21)** - During the July 30, 2001 conference call Census advised that MID must be reported to the Canadian Government by HTS number, port of entry, mode of transportation, state and related and not related party indicators. The result is that HTS lines on the IASS must be broken down by MID. MID must therefore by reported at the Header, Subheader and Line Level.
8. **Ultimate Consignee Name and Address (7501 Data Element # 9)** - During the July 31, 2001 conference call Census advised that this field also contained a state code identification field. This code

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is equal to the location of the ultimate consignee. . The result is that HTS lines on the IASS must be broken down by state code. This code must be reported at the Header, Subheader and Line Level.

9. **Related and Not Related** – During the July 30, 2001 conference call Census advised that this indicator must be reported by HTS number. The result is that HTS lines on the IASS must be broken down by Related and Not Related indicators. This indicator must be reported at the Header, Subheader and Line Level.
10. **Importer of Record Name and Address and Importer of Record Number (7501 Data Elements # 11 & 12)** - Customs agreed during July 30, 2001 conference call that name and address should not have to be reported on each IASS. The importer of record number can be used within the Customs system to derive their name and address. Data Element # 11 would not therefore be needed.
11. **Broker/Importer File Number and Reference Number (Data Elements # 8 & 22)** - During the July 30, 2001 conference call the representatives from the Customs Brokers companies indicated they were very important data elements to them. Customs indicated that they did not need them. At the brokers request these data elements will be included on the IASS and will be reported at the Header, Subheader and Line Level.
12. **Missing Document Indicator (Data Element # 16)** - During the July 30, 2001 conference call the Customs Broker representatives indicated that they still use this box on certain types of imports. (e.g. military/DCASR entries) The result is that HTS lines on the IASS must be broken down by Missing Documents. This indicator must be reported at the Header, Subheader and Line Level.
13. **Release Control Number (New Data Element)** – In order to create the link between the IASS and release it was discussed during the July 30, 2001 conference call that an IASS would require a field where all the releases included in an IASS would be reported. This reporting would give Customs the release control mechanism they need and permit the possible reduction in IASS data reporting by permitting the linkage of data reported at release to the IASS. It would therefore not be necessary to repeat data on an IASS, which was reported at the time of release if the data reported at release was in sufficient detail to satisfy the reporting requirement.

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Comments – Ian Smith – Expeditors 25 July, 2001

Kevin,

My comments are as follows:

1. Box 16 is still used (requirements of 113.41) in instances where a bond must be charged for missing documents. An example of this is with a Military/DCASR entry with a duty-free claim (9808) - for these entries, the importer typically seeks the duty free certificate after entry and is therefore required to indicate missing document code "18" in this box.

2. Box 22 is still used in accordance with 141.61 (d)(3), as below:

When refunds, bills, or notices of liquidation are to be mailed to agent.

If an importer of record desires to have refunds, bills, or notices of liquidation mailed in care of his agent, the agent's importer number shall be reported on Customs Form 7501 in the box designated "Reference No" (box 22). In this case, the importer of record shall file, or shall have filed previously, a Customs Form 4811 authorizing the mailing of refunds, bills, or notices of liquidation to the agent.

I believe the use of this is very infrequent, although I am aware of one or two of our clients who do use it.

3. On the Rate of Duty Date table, item # 5, I assume you are referring to all entry types (01, 03, etc.) that involve an I.T., in which cases, the rate of duty is based on the date the I.T. was accepted at the original port of unlading. If this is not what was intended, then we should probably incorporate the I.T. date as a rate indicator for the applicable entry types.

That's all for now.

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Comments Anita Brown – Census – 30 July, 2001

To: Kevin & Tim

From: Anita

Subject: My Comments on the 7501 Data Elements

First let me say I can tell that a great deal of time and effort was put into developing this proposal. I think we all agree that if the same data elements are required for release and entry summary that they should only be reported once as long as there is a link between the two. My primary concern with the proposal as written is that I do not see the links necessary to allow the Census Bureau to obtain and published monthly trade statistics at the level of detail we currently do and as are required by law. To aggregate imports monthly by HS and country is not enough. We also need a breakdown by Manufacturer ID (MID) for Canada, by port of entry, by method of transportation, by state and related/non-related. If you refer to the earlier proposal by Census, you will see that we proposed port of entry, method of transportation, MID, state and related/non-related be reported at the sub-header level. These could be reported at the line level as well. If the importation is by air, then we require a breakdown by carrier and port of unloading. Perhaps this link could be accomplished by reporting the release control number at the sub-header or line level. That link would allow Census to obtain the other relevant information we need but would reduce the level of roll up from your perspective.

While I agree, we need to identify the entry summary information transmitted as an IASS, Census still needs to know the entry type. Warehouse entries and warehouse withdrawals cannot be combined with consumption entries on a single IASS.

I did not see on the list of 7501 data elements where you included the Foreign Trade Zone number or zone status.

I would refer you to the copy of the Data Study information Barb sent you from Census to answer your question as to who uses the Foreign Port of Lading.

Please call me or send me an email if you have any questions concerning my comments.

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Comments Anita Brown – Census – 4 September 2001

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For number 26, US Port of Unlading - this field is required for both vessel and air. I know we are only addressing overland and air for IASS initially, but I just wanted everyone to be aware that this is also a requirement for all vessel shipments.

Number 2. Entry Types - Type 07, I believe we are missing some of the additional data fields since this is a combination quota/ADA/CVD. Wouldn't we also need textile date of export and case#?